SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(First)

1. Name and Address of Reporting Person^*

C/O AFFIRM HOLDINGS, INC. 650 CALIFORNIA STREET

MING JENNY J

(Last)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 2

STATEMENT OF CHANGES IN BE

| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 |
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| Washington, D.C. 20049 | | OMB A | PPROVAL |
|----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------------------|
| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the investment Company Act of 1940 | • | OMB Number: Estimated average bu hours per response: | 3235-0287 irden 0.5 |
| 2. Issuer Name and Ticker or Trading Symbol <u>Affirm Holdings, Inc.</u> [AFRM] | 5. Relationship of Reporti (Check all applicable) X Director Officer (give ti | • • • • | 10% Owner Other (specify below) |
| 3. Date of Earliest Transaction (Month/Day/Year) 12/03/2021 | | | |

| (Street) SAN FRANCISCO | CA | 94 | 108 | 4. If Amend | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | 6. Individu X | Individual of Joint/Group Hilling (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
|-----------------------------------------------|------------------|------------------------------------------|-----------------------------------------|-----------------------------------|----------------------------------------------------------|---------|-----------------------------------------------------------|---------------------------|-----------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------------|---|--|
| (City) | (State) | (Zi | p) | | | | | | | | | | | | |
| | | | Table I - | Non-Derivativ | e Securities Ac | quired, | Disp | osed of | , or Be | neficially | v Owned | | | | |
| | | 2. Transaction Date (Month/Day/Yea | 2A. Deemed Execution Date, if any | | | | curities Acquired (A) or Disposed Of Istr. 3, 4 and 5) | | | f 5. Amount of Securities Beneficially Owned Following Reported | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial | | |
| | | | (Month/Day/Year) | Code | v | Amount | | (A) or (D) | | Transaction(s) (Instr. 3 and 4) | | | Ownership (Instr. 4) | | |
| Class A Common Stock | | | | 12/03/2021 | 12/03/2021 | | | 1,864 | | A | \$0 ⁽²⁾ | 12,069 | | D | |
| | | | Table | | Securities Acqu calls, warrants, | | | | | | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion | 3. Transaction Date | 3A. Deemed Execution Date, | 4. Transaction Code (Instr. 8) | | | | 8. Price of Derivative | 9. Numbe derivativ | | 11. Nature o Indirect | | | | |

| | or Exercise Price of Derivative Security | (Month/Day/Year) | if any (Month/Day/Year) | | | Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | (Month/Day/Year) | | | | Security (Instr. 5) | Beneficially Owned | | Beneficial Ownership (Instr. 4) | |
|--|---------------------------------------------------|------------------|----------------------------|------|---|-----------------------------------------------------------|-----|---------------------|--------------------|-------|----------------------------------|------------------------------------------|-----------------------|--|---------------------------------------|--|
| | County | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Reported Transaction(s) (Instr. 4) | , | | | |

1. Grant of Bestricted Stock Units (RSUs) under the Issuer's Amended and Restated 2012 Stock Plan. The RSUs vest in full upon the earlier of December 3, 2022 or the date of the Issuer's next annual meeting of stockholders, subject to continued service by the Reporting Person as a non-employee director until such earlier date.

2. Each Restricted Stock Unit represents a contingent right to receive one share of the Issuer's Class A Common Stock.

(Middle)

Remarks:

Explanation of Responses:

/s/ David Ritenour, Attorney-in-Fact ** Signature of Reporting Person Date

<u>12/07/2021</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

 ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY KNOW ALL PERSONS BY THESE PRESENTS that the undersigned hereby constitutes, designates and appoints Sharda Caro del Castillo, David Ritenour,

(a) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Affirm Holdings, Inc. (the "G (b) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such (c) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of be

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respec

IN WITNESS WHEREOF, the undersigned has executed this instrument as of the 4th day of March, 2021.

/s/ Jenny Ming